WEATHERSTONE
CAPITAL MANAGEMENT

Program Matrix

	Investment	Custodian/Platform	Program Philosophy	Approach	ETFs	Gov't Bonds	Reits	H.Y. Bonds	Commodities	Tactical Mututal Funds	Domestic Equity	International Equity	Inverse Funds	Stocks (Individual)	Cash
Conservative	Managed Income *Brian Carruthers & Associates*	ETAS/TD	Allocates 100% in bond mutual funds, primarily using high yield corporate bonds with the ability to utilize other bond categories. Money market funds may also be utilized.	Tactical	✓			✓							✓
Conservative	Asset Enhancement *Comprehensive Capital/Weatherstone*	ETAS/M.O/ENV/FTJ/CAAP/TD/ FOL/ST/AD	Equity and bond mutual funds with disciplined risk reduction	Tactical	✓	✓	✓	✓	✓	✓	✓	✓			✓
Conservative	Income Plus *Weatherstone*	ETAS/M.O/ENV/FTJ/CAAP/VA/TD/ST/AD	Preserve capital through H.Y bonds and other fixed income investments	Tactical	✓	✓	✓	✓			✓		✓		✓
Conservative	Managed High Yield *Kensington Analytics*	ETAS/TD/M.O./VA/FOL	Holds a diversified portfolio of high yield bonds using traditional or exchange traded mutual funds (ETF)	Tactical	✓	✓		✓							✓
Conservative	Tactical Yield *United Capital Financial Advisers*	ETAS	Attractive yield and total return with low volatility using fixed income	Tactical	✓	✓	✓	✓					✓		✓
Conservative	Conservative Growth *Weatherstone*	ETAS/M.O/ENV/FTJ/VA/CAAP/ TD/ST/AD	Target allocation of 70% fixed income, 30% Equities	Tactical	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓
Moderate	Balanced Growth *Weatherstone*	ETAS/M.O/ENV/FTJ/VA/CAAP/TD/ST/AD	Bond allocation typically HY Equities allocation follow Diversified Growth	Tactical	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓
Moderate	Diversified Growth *Weatherstone*	ETAS/M.O/ENV/FTJ/VA/TD/ST/AD	Tactical management of equity mutual funds can go to cash and short	Tactical	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓
Moderate	Sector Rotation *Innealta Capital*	ETAS	10 U.S. sector specific ETFs or a mixture of fixed income when not invested	Tactical	✓	✓	✓	✓	✓		✓				
Moderate	Tactical Market Index (G) *Weatherstone*	ETAS/ENV/FTJ/TD/FOL/VA/AD	Hold S&P Index when the markets are favorable and government bonds when the markets are unfavorable	Tactical	✓	✓					✓				
Moderate	Tactical Market Index (M) *Weatherstone*	ETAS/ENV/FTJ/FOL/VA/FTJ/AD	Hold S&P Index when the markets are favorable and government bonds and cash positions when the markets	Tactical	✓	✓					✓				✓
Moderate	Focused Growth *Weatherstone*	ETAS/M.O/ENV/FTJ/CAAP/FOL/ TD/ST/AD	Multiple models determine allocation among sectors, styles and cash	Tactical	✓		✓	✓	✓		✓	✓	✓		✓
Moderate	Country Rotation *Innealta Capital*	ETAS	20 International ETFs or a mixture of fixed income when not invested	Tactical	✓	✓	✓				✓	✓			
Moderate	International Tactical (G) *Weatherstone*	ETAS/ENV/TD/FOL/FTJ/AD	Uses a broad-based currency-hedged exchange traded funds (ETF) or traditional mutual funds.	Tactical	✓										
Moderate	International Tactical (G) – Currency Hedged *Weatherstone*	ETAS	Uses a broad-based currency-hedged exchange traded funds (ETF) or traditional mutual funds. Uses hedged currency exposure.	Tactical	✓										
Moderate	Tactical Market Index (G) – Socially Responsible	ETAS/TD	Broad-based socially screened index fund with exposure to U.S. Stock market	Tactical	✓	✓									
Aggressive	Global Opportunities *Weatherstone*	ETAS/M.O/ENV	Coherent and relative strength screens used to pick countries or regions	Tactical	✓	✓		✓	✓		✓	✓	✓		✓
Aggressive	Strategic Dividend *Alley Company*	ETAS	Individual stocks with attractive dividends coupled with strong fundamentals	Strategic/Active										✓	
Aggressive	High Quality Growth *Martin Investment Management*	ETAS	Investing in 20-30 mid to large cap stocks with above average growth rates	Strategic/Active										✓	
Aggressive	Indexed Equity *Weatherstone*	ETAS/TD	Low cost, index based domestic and international exposure through ETF's	Strategic/Passive	✓						✓	✓			
Aggressive	International High Quality Growth *Martin Investment Management*	ETAS	Investing in non-U.S. Companies in the developed world with 25-30 mid to large cap capitalization equities.	Strategic/Active								✓			

ETAS = E*Trade Advisor Services, M.O= Managed Opportunities Platform, ENV= Envestnet, FTJ= FTJ Fund Choice, VA= Variable Annuity, CAAP=Cambridge, ST=Sawtooth, FOL = FOLIO
TD = TD Ameritrade, AD= Adhesion

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